

Application to join the Swiss Funds & Asset Management Association SFAMA

Company name/name:

Domicile:

Postal address:

Representative of company:

Function:

Phone: Switchboard Direct

Fax:

General email:

Direct email:

Website:

Company domicile:

Date of foundation:

Legal form:

Active member (see Art. 4 Para 2 a – d of the articles) as*:

- a fund management company, a SICAV, a limited partnership for collective investment, SICAF (Art. 13 Para 2a-d CISA)
- a representative of foreign collective investment schemes (Art. 13 Para. 2h CISA)
- an asset manager of collective investment schemes (Art. 13 Para. 2f CISA)
- another asset manager, whose business focuses on the management of assets of institutional investors
- a custodian bank (Art. 13 Para. 2e CISA)

Associate member (see Art. 4 Para 3 a – c of the articles) as*:

- an audit company of collective investment schemes
- a law firm
- a solution provider for the funds and asset management industry
- another natural person or legal entity

**Please tick the appropriate box (more than one box may be ticked if applicable)*

Management (Executive Board, senior management, etc.):

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.....
.....
.....

Ownership structure:

.....
.....
.....
.....

Fund assets (as of 31 December of the previous year):

Number of investment funds:

Fund categories*:

Swiss funds

- Securities funds
- Real estate funds
- Other funds for traditional investments
- Other funds for alternative investments

Foreign funds

- UCITS
- NON-UCITS

Company signatories:

Name: Signature:

Name: Signature:

Place/date:

Enclosures*:

- Annual report
- Balance sheet + annual financial statements
- Excerpt from Commercial Register
- Declaration in respect of significant equity holders pursuant to Art. 14.1b and Art. 14.3 CISA in conjunction with Art. 11 CISO

* Please tick the appropriate box (more than one box may be ticked if applicable)